

Firm Element Training Course

FINRA requires firms to provide continuing education to their representatives and supervisors. Divorce Financial Solutions offers a firm element course for registered representatives who regularly provide financial planning and investment advice to married clients. Are your advisors and managers aware of the liability they face if their clients divorce? This course will address the fiduciary and legal responsibilities required of your representatives and provide recommendations on how to handle divorcing clients.



Financial Planning

REGISTERED REPRESENTATIVES & CERTIFIED FINANCIAL PLANNER™ PROFESSIONALS

Walking the Tightrope with Divorcing Clients

Level: Intermediate

This live one-hour course is intended to provide a basic understanding of the professional, legal and fiduciary responsibilities of registered representatives with regard to how they handle their clients' divorce. This course is appropriate for advisors providing investment and financial planning advice to married clients.

This course addresses:

- Determining the advisor's level of involvement
- Professional and fiduciary responsibilities
- Setting client and advisor expectations
- The advisor's role during and after the divorce
- The liability advisors face with divorcing clients
- Divorce process choices & costs
- Special tax rules for divorce
- Referring clients to other professionals

Length: 60 minutes

Price: \$250

1 CE Credit

CFP Board of Standards Approved



**DIVORCE FINANCIAL
SOLUTIONS**

About Divorce Financial Solutions

Divorce Financial Solutions offers fee only divorce financial consulting services. We provide clients with the financial information, settlement analysis and education needed to help them make informed decisions about their futures. We will work with one or both parties to find a civil and equitable solution that can help minimize legal fees and taxes. **We do not offer or sell investments, portfolio management, insurance, or annuities. And we are not affiliated with any broker dealer or insurance company.** Our goal is to help individuals and couples create solutions through thoughtful consideration that will allow them to start their new lives sooner and with less residual anger.

Call Divorce Financial
Solutions at

619-795-1797

to schedule your firm
element training course